

FORM PTO-1390 REV. 2/01T U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		CUSTOMER NO. 22,852 ATTORNEY'S DOCKET NUMBER 02610.0042 U.S. APPLICATION NO. (If known, see 37CFR1.5) 10/510571
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/JP2003/004561	April 10, 2003	April 10, 2002
TITLE OF INVENTION: MODIFIED POLYMER AND COMPOSITION CONTAINING THE SAME		
APPLICANTS FOR DO/EO/US: 1) Takaaki MATSUDA, 2) Shigeki TAKAYAMA, 3) Toshinori SHIRAKI, 4) Shigeo NAKAJIMA, and 5) Yasuhiro KUSANOSE		
Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C 371.	
2. <input type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.	
3. <input type="checkbox"/>	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.	
4. <input type="checkbox"/>	The US has been elected by the expiration of 19 months from the priority date (Article 31).	
5. <input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).	
a. <input type="checkbox"/>	is attached hereto (required only if not communicated by the International Bureau).	
b. <input checked="" type="checkbox"/>	has been communicated by the International Bureau.	
c. <input type="checkbox"/>	is not required, as the application was filed with the United States Receiving Office (RO/US).	
6. <input checked="" type="checkbox"/>	An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).	
a. <input checked="" type="checkbox"/>	is attached hereto.	
b. <input type="checkbox"/>	has been previously submitted under 35 U.S.C. 154 (d)(4).	
7. <input checked="" type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).	
a. <input type="checkbox"/>	are attached hereto (required only if not communicated by the International Bureau).	
b. <input type="checkbox"/>	have been communicated by the International Bureau.	
c. <input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.	
d. <input checked="" type="checkbox"/>	have not been made and will not be made.	
8. <input type="checkbox"/>	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).	
9. <input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).	
10. <input type="checkbox"/>	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).	
Items 11 to 20 below concern document(s) or information included:		
11. <input type="checkbox"/>	Information Disclosure Statement under 37 CFR 1.97 and 1.98	
12. <input checked="" type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13. <input type="checkbox"/>	A FIRST preliminary amendment.	
14. <input type="checkbox"/>	A SECOND or SUBSEQUENT preliminary amendment.	
15. <input type="checkbox"/>	A Substitute specification.	
16. <input type="checkbox"/>	A change of power of attorney and/or address letter.	
17. <input type="checkbox"/>	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.	
18. <input type="checkbox"/>	A second copy of the published international application under 35 U.S.C. 154 (d)(4).	
19. <input type="checkbox"/>	A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).	
20. <input checked="" type="checkbox"/>	Other items or information:	
a. <input checked="" type="checkbox"/>	Copy of cover page of International Publication No. WO 2003/085010 A1.	
b. <input checked="" type="checkbox"/>	Verification of English Translation.	
c. <input checked="" type="checkbox"/>	Listing Under 37 C.F.R. § 1.32(C)(3) Of Ten Or Fewer Attorneys To Be Recognized By The PTO As Being Of Record.	

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21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1110.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search fee (37 CFR 1.445(a)(2)) paid to USPTO \$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33 (1)-(4) \$100.00		CALCULATIONS PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$950.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).		<input type="checkbox"/> 20 <input type="checkbox"/> 30 \$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	50	- 20 =	30 x \$18.00 \$540.00
Independent Claims	7	-3 =	4 x \$88.00 \$352.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (if applicable)		+\$300.00	\$300.00
TOTAL OF THE ABOVE CALCULATIONS =		\$2142.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		\$	
SUBTOTAL =		\$2142.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)).		<input type="checkbox"/> 20 <input type="checkbox"/> 30 \$	
TOTAL NATIONAL FEE =		\$2142.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.		\$40.00	
TOTAL FEES ENCLOSED =		\$2182.00	
		Amount to be refunded:	
		charged:	
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>2182.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 1300 I Street, N.W. Washington, D.C. 20005-3315 EFC/FPD/sci DATED: October 8, 2004		 SIGNATURE Ernest F. Chapman/25,961 NAME/REGISTRATION NO.	